

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ara Victor Nefian; Lu Hong

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Group Art Unit:

2621

Serial No.:

10/649,070

Examiner:

Unknown

Filed:

August 27, 2003

Liang

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For:

Identifying A Speaker Using Markov

Models

Atty. Dkt. No.:

ITL.1033US (P16814)

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants submit the references listed on the attached form PTO 1449, copies of the non-U. S. Patent references are enclosed.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account 20-1504.

Respectfully submitted,

Date:

January 15, 2004

21906

PATENT TRADEMARK OFFICE

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Date of Deposit: January 15, 2004

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA

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Jennifer Juarez

## INFORMATION DISCLOSURE CITATION JAN 2 0 2004 % Use several sheets if necessary)

ATTY DOCKET NO. ITL.1033US (P16814) SERIAL NO. 10/649,070

APPLICANT(S):

ARA VICTOR NEFIAN; LU HONG LIANG

FILING DATE:

GROUP ART UNIT:

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RADEMARY			U.S.	PATENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
	Α.	US 2003/0113018		Nefian et al.	382	181	01/23/2	2003
	B.						-	
	C.		-					
	D.							
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			FOREIG	ON PATENT DOCUMENTS				
		DOCUMENT NUMBER DATE COUNTRY CLASS SURCLASS TRANSLATION						
		DOGGMENT NOMBER	DATE.	3350000			YES	NO
	J.							
	K.							
	L.							
	M.							
	N.							
				luding Author, Title, Date, Pertinent				
	O.	L. Liang, X. Liu, X. Pi, Y. Zhao, and A. V. Nefian. Speaker Independent Audio-Visual Continuous Speech Recognition. In <i>International Conference on Multimedia and Expo</i> , Vol. 2, pp. 25-28. Conference held August 26-29, 2002.						
	P.	A. V. Nefian. Embedded Bayesian Networks for Face Recognition. In <i>IEEE International Conference on Mulitmedia and Expo</i> , Vol. 2, pp. 133-136. Conference held August 26-29, 2002.						
	Q.	A. V. Nefian, L. Liang, T. Fu, X. Liu. A Bayesian Approach to Audio-Visual Speaker Identification. <u>AVBPA03</u> , pp. 761-69. January 2003						
	R.	Ara V. Nefian and Monson H. Hayes III. "Hidden Markov models for face detection and recognition", IEEE International Conference on Image Processing, vol. 1, p. 141-145. October 1998.						
	S.	Ara V. Nefian and Monson H. Hayes III. "Maximum Likelihood Training of the Embedded HMM for Face Detection and Recognition". In <i>IEEE International Conference on Image Processing</i> , Vol. 1, pp. 33-36. September 2000.						
	T.							
	U.							
EXAMINER				DATE CONSIDERED				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form

with next communication to applicant.